



### Table of Fees for Services

Carefully read Item 4 and Item 5 of Form ADV Part 2A (“Brochure”) for more details of Northern Capital Securities Corporation advisory services and fees, respectively. Fees below are charged when clients request the services listed. Fees below may not apply to all clients. Fees may be negotiable. Different fees may represent alternative payment options for similar services or combinations of services. Talk with Northern Capital Securities Corporation about what services are appropriate for you and the fees that will apply.

| Fees Charged by Investment Adviser | Fee Amount  |                                  | Frequency Fee is Charged | Services   |
|------------------------------------|---|----------------------------------|--------------------------|--|
| Assets Under Management Fee        | \$0 - \$2,000,000<br>Next \$3,000,000<br>Next \$5,000,000<br>Above > \$10,000,000 | 0.75%<br>0.50%<br>0.45%<br>0.40% | Quarterly in advance     | Portfolio management for businesses or institutional clients |
| Hourly Fee                         | \$0   |                                  | n/a                      |  |
| Subscription Fee                   | \$0   |                                  | n/a                      |  |
| Fixed Fee                          | \$0   |                                  | n/a                      |  |
| Commissions to the Adviser         | \$0   |                                  | n/a                      |  |
| Performance-based Fee              | \$0   |                                  | n/a                      |  |
| Other                              | \$0   |                                  | n/a                      |  |
| Fees Charged by Third Parties      | Fee Amount  |                                  | Frequency Fee is Charged | Services   |
| Third Party Money Manager          | \$0   |                                  | n/a                      |  |
| Robo-Adviser Fee                   | \$0   |                                  | n/a                      |  |
| <b>Fee Total</b>                   | <b>Talk with your Adviser about fees and costs applicable to you</b>              |                                  |                          |  |

### Additional fees and costs to discuss with your Adviser

| Additional Fees/Cost              | Yes/No | Paid To                  |
|-----------------------------------|--------|--------------------------|
| Brokerage Fees                    | No     |                          |
| Commissions                       | No     |                          |
| Custodian Fees                    | No     |                          |
| Mark-ups                          | Yes    | Executing broker-dealers |
| Mutual Fund/ETF Fees and Expenses | No     |                          |